

# Generic Coding with Help

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# Motivation

Some reals ( $0^\#$ , etc) are not in any forcing extension of  $L$ . This persists even when forcing over  $V$ : whenever  $H$  is  $V$ -generic, in  $V[H]$  there is no  $G$  generic over  $L$  such that  $0^\# \in L[G]$ .

However, there are reals  $c_1, c_2$  both Cohen generic over  $L$  such that  $0^\# \in L[c_1, c_2]$ . It must be that  $c_2$  is not generic over  $L[c_1]$  and  $c_1$  is not generic over  $L[c_2]$ .

Suppose  $a$  is an arbitrary real **not in  $L$** . Is there a generic  $G$  over  $L$  such that  $0^\# \in L[a, G]$ ? We will see that the answer is YES!

## Theorem

Let  $M$  be a transitive model of ZF and assume  $|\mathcal{P}(\mathbb{R})^M| = \omega$ . Let  $a \in \mathcal{P}(\omega) - M$ . Then for any  $x \in \mathcal{P}(\omega)$ , there is some  $G$  such that

- 1)  $G$  is  $\mathbb{H}_{\omega,\omega}$ -generic over  $M$  and
- 2)  $x \in L[a, G]$ .

- We will define  $\mathbb{H}_{\omega,\omega}$  soon.
- $G$  need not be generic over  $L[a]$  (we could have  $x = a^\#$ ).
- By universality of the collapsing poset, we can replace  $\mathbb{H}_{\omega,\omega}$  with  $\text{Col}(\omega, \delta)$  where  $\delta = (2^\omega)^M$ .

# Generic generics over $L$

Every set is generically generic over  $L$ , with help from  $0^\#$ .

## Corollary

Let  $\lambda$  be a cardinal and  $X \subseteq \lambda$ . Whenever  $V[H]$  is a forcing extension of  $V$  in which  $\lambda$  is countable, there is some  $G \in V[H]$  such that

- 1)  $G$  is  $\text{Col}(\omega, \lambda) * \mathbb{H}_{\omega, \omega}$ -generic over  $L$  and
- 2)  $X \in L[0^\#, G]$ .

In  $V[H]$ , we first build  $G_1$  that is  $\text{Col}(\omega, \lambda)$ -generic over  $L$ . Note that  $0^\# \notin L[G_1]$ . From  $G_1$  we can recover a surjection  $s : \omega \rightarrow \lambda$ . Fix an  $x \in \mathcal{P}(\omega)$  such that  $X \in L[s, x]$ . Then we build  $G_2$  that is  $\mathbb{H}_{\omega, \omega}^{L[G_1]}$ -generic over  $L[G_1]$  such that  $x \in L[0^\#, G_2]$ .

$0^\#$  can be replaced by any real in  $V[H]$  that is not generic over  $L$ .

# $\omega$ - $\lambda$ Theorem

There is a more direct way to get that every  $x \in {}^\omega\lambda$  is generically generic with help:

## Theorem

Let  $\lambda$  be a singular cardinal of cofinality  $\omega$ . Let  $M$  be a transitive model of ZFC such that  $\lambda \in M$  and  ${}^{<\lambda}2 \subseteq M$ . Let  $\delta = (2^\lambda)^M$ . Let  $A \in \mathcal{P}(\lambda) - M$ . Then in any forcing extension  $V[H]$  of  $V$  in which  $|\mathcal{P}^M(\delta)| = \omega$ , for any  $x \in {}^\omega\lambda$  in  $V[H]$ , there is some  $G$  such that

- 1)  $G$  is  $\mathbb{H}_{\omega,\lambda}$ -generic over  $M$  and
- 2)  $x \in M[A, G]$ .

- We will define  $\mathbb{H}_{\omega,\lambda}$  soon.
- We work in the extension  $V[H]$  because in  $V$ , the set  $\mathcal{P}(2^\lambda)^M$  must be uncountable.
- It is important that  $A \in V$ .
- We can replace  $\mathbb{H}_{\omega,\lambda}$  with  $\text{Col}(\omega, \delta)$ .

# Proof of theorems: Part 1

## Definition

Let  $\lambda$  be an infinite cardinal. The forcing  $\mathbb{H}_{\omega,\lambda}$  consists of all trees  $T \subseteq {}^{<\omega}\lambda$  such that for each  $t \sqsupseteq \text{Stem}(T)$ ,  $\{\gamma : t \frown \gamma \notin T\}$  has size  $< \lambda$ . The ordering is by inclusion.

$\mathbb{H}_{\omega,\lambda}$  is a variant of Hechler forcing. It adds a “fast growing” function from  $\omega$  to  $\lambda$ . Note:  $\mathbb{H}_{\omega,\lambda}$  has a dense subset of size  $\lambda^\omega \leq 2^\lambda$ .

## Definition

Fix  $A \subseteq \lambda$ . Given  $T_1, T_2 \in \mathbb{H}_{\omega,\lambda}$ , we write  $T_2 \leq^A T_1$  iff  $T_2 \leq T_1$  and letting  $t_2 = \text{Stem}(T_2)$  and  $t_1 = \text{Stem}(T_1)$ ,

$$(\forall n \in \text{Dom}(t_2) - \text{Dom}(t_1)) t_2(n) \notin A.$$

$T_2 \leq^A T_1$  implies “ $T_2$  does not hit  $A$  more than  $T_1$  already does”.

## Proof of theorems: Part 2

### Definition

Given  $T \in \mathbb{H}_{\omega, \lambda}$  and  $t \in T$ , we write  $t' \sqsupseteq_T t$  iff  $t' \sqsupseteq t$  and  $t' \in T$ . Given  $A \subseteq \lambda$ , we write  $t' \sqsupseteq_T^A t$  iff  $t' \sqsupseteq_T t$  and

$$(\forall n \in \text{Dom}(t') - \text{Dom}(t)) t'(n) \notin A.$$

### Definition

A set  $S \subseteq {}^{<\omega}\lambda$  is **large** iff given any  $T \in \mathbb{H}_{\omega, \lambda}$ , there is some  $t' \sqsupseteq_T \text{Stem}(T)$  such that  $t' \in S$ .

Large means “the set of stems of some dense set”:

### Lemma

$S \subseteq {}^{<\omega}\lambda$  is large iff there is some dense  $D \subseteq \mathbb{H}_{\omega, \lambda}$  such that

$$S = \{t \in {}^{<\omega}\lambda : (\exists T \in D) t = \text{Stem}(T)\}.$$

## Proof of theorems: Part 3

Fix  $A \subseteq \lambda$  and a function  $\eta : A \rightarrow \lambda$  such that  $(\forall \beta < \lambda) \eta^{-1}(\beta)$  has size  $\lambda$ .

Let  $G$  be  $\mathbb{H}_{\omega, \lambda}$ -generic over some model  $M$ . Let  $g = \cap G$ . Then  $g : \omega \rightarrow \lambda$ .

Idea: whenever  $g(n) \in A$ , the value of  $\eta(g(n))$  is a single piece of information that has been encoded. Given  $x \in {}^\omega \lambda$  and letting  $n_0 < n_1 < \dots$  be the  $n$ 's such that  $g(n) \in A$ , we hope to encode  $x$  as

$$x = \langle \eta(g(n_i)) : i < \omega \rangle.$$

Issue: does the requirement that  $G$  be generic over  $M$  cause there to be unwanted  $n$ 's such that  $g(n) \in A$ ? If we can hit dense sets by making  $\leq^A$  extensions, then we can build a  $G$  which hits all dense sets in  $M$  without interfering with our encoding.



## Proof of theorems: Part 4

How do we hit dense subsets of  $\mathbb{H}_{\omega,\lambda}$  by making  $\leq^A$ -extensions?

Ingredient:

### Sticking Out Observation

Let  $M$  be a transitive model such that  ${}^{<\lambda}2 \subseteq M$ . Let  $A \in [\lambda]^\lambda$  and assume  $(\forall B \in [A]^\lambda) B \notin M$ . Then if  $B \in [\lambda]^\lambda \cap M$ , then  $B - A$  has size  $\lambda$ .

Proof: If  $|B - A| < \lambda$ , then  $B - A \in M$  therefore  $B \cap A \in M$  and  $B \cap A$  is a size  $\lambda$  subset of  $A$ .

Thus, given  $T \in \mathbb{H}_{\omega,\lambda}$ , if we need to extend the stem  $t$  of  $T$  by one and we are given  $\lambda$  choices  $t \frown \gamma$  for how to do this, then  $\lambda$  of the  $\gamma$  will not be in  $A$ .

## Definition

Let  $S \subseteq {}^{<\omega}\lambda$  and  $t \in {}^{<\omega}\lambda$ .

- $t$  is **0- $S$ -reachable** iff  $t \in S$ .
- for  $\alpha > 0$ ,  $t$  is  **$\alpha$ - $S$ -reachable** iff

$$\{\gamma < \lambda : (\exists \beta < \alpha) t \upharpoonright \gamma \text{ is } \beta\text{-}S\text{-reachable}\}$$

has size  $\lambda$ .

## Lemma

Let  $D \subseteq \mathbb{H}_{\omega, \lambda}$  be dense. Let  $S \subseteq {}^{<\omega}\lambda$  be the set

$$S = \{t : (\exists T \in D) t = \text{Stem}(T)\}.$$

Then  $(\forall t \in {}^{<\omega}\lambda)(\exists \alpha \in \text{Ord}) t$  is  $\alpha$ - $S$ -reachable.

## Proof of theorems: Part 6

Note: this proof does *not* work for  $\mathbb{H}_{\kappa,\lambda}$  for  $\kappa > \omega$ .

### Main Lemma

Let  $M$  be a transitive model such that  ${}^{<\lambda}2 \subseteq M$ . Let  $A \in [\lambda]^\lambda$  be such that  $(\forall B \in [A]^\lambda) B \notin M$ . Let  $S \subseteq {}^{<\omega}\lambda$  be large (in  $M$ ). Let  $T \in \mathbb{H}_{\omega,\lambda}^M$  and  $t = \text{Stem}(T)$ . Then there exists some  $t' \sqsupseteq_T^A t$  in  $S$ .

Proof: We have that  $t$  is  $\alpha$ - $S$ -reachable for some  $\alpha$ .

If  $\alpha = 0$ , then  $t \in S$  and we are done.

If  $\alpha > 0$ , then consider  $B = \{\gamma < \lambda : (\exists \beta < \alpha) t \restriction \gamma \text{ is } \beta\text{-}S\text{-reachable}\}$ . It is in  $M$  and has size  $\lambda$ , so by the “sticking out observation”,  $B - A$  has size  $\lambda$ . Thus, there is some  $\gamma_0 \in B - A$  and such that  $t \restriction \gamma_0 \in T$ . If  $t \restriction \gamma_0$  is 0- $S$ -reachable we are done. Otherwise we can find some  $t \restriction \gamma_0 \restriction \gamma_1$ , etc. This process will terminate after finitely many stages.

## Corollary

Let  $M$  be a transitive model such that  ${}^{<\lambda}2 \subseteq M$ . Let  $A \in [\lambda]^\lambda$  be such that  $(\forall B \in [A]^\lambda) B \notin M$ . Let  $D \in \mathcal{P}^M(\mathbb{H}_{\omega,\lambda}^M)$  be open dense (in  $M$ ). Let  $T \in \mathbb{H}_{\omega,\lambda}^M$ . Then there exists some  $T' \leq^A T$  in  $D$ .

Thus we can do a construction (in  $V$ ) to hit the dense <sup>$M$</sup>  subsets of  $\mathbb{H}_{\omega,\lambda}^M$  by making only  $\leq^A$  extensions.

We can alternate between doing  $\leq^A$ -extensions to hit the dense sets in  $M$ , and doing  $\leq$ -extensions to encode more and more of some  $x \in {}^\omega\lambda$ .

## Proof of theorems: Part 8

But how to get an  $A \in [\lambda]^\lambda$  such that  $(\forall B \in [A]^\lambda) B \notin M$ ?

### $\omega$ -Stuttering Lemma

For every  $\tilde{A} \subseteq \omega$ , there is some  $A =_T \tilde{A}$  that is computable from every infinite subset of itself.

One can generalize this using bijections from  ${}^\sigma 2$  to  $2^\sigma$  for  $\sigma < \lambda$ .

### $\lambda$ -Stuttering Lemma

Let  $M$  be a transitive model of ZFC such that  $\lambda \in M$ . Suppose  $(\forall \sigma < \lambda) (2^\sigma)^M \leq \lambda$ . For every  $\tilde{A} \subseteq \lambda$ , there is some  $A \in [\lambda]^\lambda$  such that  $(\forall B \in [A]^\lambda) M[B] = M[\tilde{A}]$ .

# Another application of the Main Lemma

We have now proved the theorems!

Another application of the main lemma:

## Theorem

Assume  $AD^+$ . Fix  $a \in \mathbb{R}$ . There is a Borel function  $f_a : {}^\omega\omega \rightarrow {}^\omega\omega$  with the following property: given any  $g : {}^\omega\omega \rightarrow {}^\omega\omega$ ,

$$g \cap f_a = \emptyset \Rightarrow a \in L[C]$$

where  $C \subseteq \text{Ord}$  is any  $\infty$ -Borel code for  $g$ .

## Conjecture

Assume the Axiom of Choice and that there are large cardinals. There is

- an inner model  $M \supseteq \mathbb{R}$  satisfying AD,
- a set  $A \subseteq \omega_1$ , and
- a cardinal  $\mu$

such that whenever  $X \subseteq \omega_1$  and  $H$  is  $\text{Col}(\omega_1, \mu)$ -generic over  $V$ , there is some  $G \in V[H]$  such that

- 1)  $G$  is generic over  $M$  by a countably closed forcing and
- 2)  $X \in M[A, G]$ .

With the right cardinality assumption, we should not need to pass to  $V[H]$ .

# The $\mathbb{H}_{\omega_1, \mathbb{R}}$ game: Part 1

We can try to prove the  $\mathcal{P}(\omega_1)$  conjecture using the poset  $\mathbb{H}_{\omega_1, \mathbb{R}}$  defined in the natural way (conditions are trees  $T \subseteq {}^{<\omega_1}\mathbb{R}$  where each node has all but countably many children).

Given  $S \subseteq {}^{<\omega_1}\mathbb{R}$ , the length  $\omega_1$  game  $\mathbb{H}_{\omega_1, \mathbb{R}}(S)$  is as follows:

On round  $\alpha < \omega_1$ , Player I plays some  $C_\alpha \in [\mathbb{R}]^\omega$  and then Player II plays some  $r_\alpha \in \mathbb{R} - C_\alpha$ . Player II wins the game iff for some  $\alpha < \omega_1$ ,

$$\langle r_\beta : \beta < \alpha \rangle \in S.$$

The game is *closed*.

Player I has a winning strategy iff  $S$  is not large.






## The $\mathbb{H}_{\omega_1, \mathbb{R}}$ game: Part 2

Suppose  $A \subseteq \mathbb{R}$  has size  $\omega_1$  and  $(\forall B \in [A]^{\omega_1}) B \notin M$ . Assume Player II has a strategy  $\Gamma \in M$  for the  $\mathbb{H}_{\omega_1, \mathbb{R}}(S)$ -game that is a winning strategy in **both**  $M$  and  $V$ . Assume the Axiom of Choice in  $V$ . Then

- Player I can play so that II always responds (using  $\Gamma$ ) with a real not in  $A$  (there are at least  $\omega_1$  responses II would make, so by the sticking out observation  $\omega_1$  responses must not be in  $A$ ).
- The play of the game must terminate at some stage before  $\omega_1$ , because otherwise I wins.
- It cannot terminate by player II getting stuck because  $\Gamma$  is a winning strategy for II.
- Thus, it must terminate by the sequence constructed so far being an element of  $S$ .

Thus, if for each large  $S$  there is such a  $\Gamma$  for  $\mathbb{H}_{\omega_1, \mathbb{R}}(S)$ , then the  $\mathcal{P}(\omega_1)$  conjecture is true.

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